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## Review

## Risk Factors for Contracting Invasive Meningococcal Disease and Related Mortality: A Systematic Literature Review and Meta-analysis

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## ABSTRACT

**Objectives:** To describe risk factors (RFs) and quantify their effects in invasive meningococcal disease (IMD) and associated mortality across all age groups based on the available published literature.

**Methods:** A systematic literature review (SLR) was conducted via MEDLINE® and Embase. Study selection, data extraction, and quality assessment were performed by two independent reviewers. Associations between RFs and outcomes were quantified via a meta-analysis (MA).

**Results:** Seventy-four studies (date range 1950 – 2018) were included in the SLR. Statistically significant RFs for contracting IMD identified from the SLR (within-study) included previous IMD infection and young age (0 – 4 years). MA indicated that significant RFs for contracting IMD (11 studies) were: HIV-positive status, passive smoke exposure, and crowded living space. In the MA for IMD-related mortality risk (11 studies), age 25 – 45 years (vs. 0 – 5 years) and serogroup C (vs. serogroup B) were significantly associated with increased risk.

**Conclusions:** Previous findings of higher risk for IMD contraction with smoke exposure and crowded living conditions in children/adolescents have been extended by this SLR/MA to all age groups. We provide strong evidence for higher risk of IMD in HIV-positive individuals, and confirm previous findings of higher IMD-related mortality risk in adults aged 25 – 45.

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## Introduction

Invasive meningococcal disease (IMD) is an acute bacterial infection, caused by *Neisseria meningitidis*, which can cause septicemia, meningitis, and can lead to potentially severe long-term sequelae, including limb amputation, neurological deficits, hearing loss, and other serious disabilities (Edmond et al., 2010). *N. meningitidis* may be found as a benign commensal bacterium in the human nasopharynx (phenomenon known as asymptomatic carriage); however, invasive disease in susceptible individuals is one of the most feared infections due to its sudden onset, rapid progression and high case fatality rates (Parikh et al., 2018). When untreated, IMD mortality may exceed 50%, and mortality despite

treatment remains high at 10% (Pace and Pollard, 2012, Perez et al., 2010).

Six *N. meningitidis* serogroups are responsible for almost all IMD cases worldwide (A, B, C, W, X and Y), and there is a wide variation in serogroup distribution by geography and over time (Parikh et al., 2020). Incidence of IMD varies globally and tends to be higher in the meningitis belt of sub-Saharan Africa, spanning 26 countries where epidemics (defined as > 100 cases/100,000 population/year) occur every 5 – 12 years (Jafri et al., 2013, Parikh et al., 2020). Successful implementation of a meningococcal A (historically the most prevalent strain) conjugate vaccine program from 2010 – 2015 in this region has reduced confirmed cases by 99%, and epidemics by 59% (Mustapha and Harrison, 2018). More recently, outbreaks and epidemics in the meningitis belt have been caused by meningococcal C, W, and X (Parikh et al., 2020).

Risk factors for IMD susceptibility and severity are of considerable interest due to the potential for outbreaks and epidemics. The World Health Organization and the Centers for Disease Control and Prevention (both European and United States [US]) list age

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< 5 years, complement pathway deficiencies, asplenia, underlying chronic diseases, large group gatherings (such as Hajj or Umrah pilgrimage to Mecca), HIV infection, travel to or living in the African meningitis belt, and active or passive smoking as risk factors (Centres for Disease Control and Prevention, 2021, European Center for Disease Prevention and Control, 2021, World Health Organization, 2021).

Although there is an abundance of primary research literature on specific risk factors for contracting IMD and for IMD-related mortality, a comprehensive qualitative and quantitative overview of risk factors across all age groups is lacking. This systematic literature review (SLR) and meta-analysis (MA) sought to identify the wide range of risk factors for IMD contraction and related mortality across all age groups, to inform prevention strategies for IMD in potential high-risk groups.

## Methods

An SLR was carried out by searching MEDLINE® and Embase via OvidSP from database inception to July 6, 2020. Full search strategies are provided in **Tables S1 – S2** in the **Supplementary Materials**.

A grey literature search was also conducted via manual screening of leading relevant infectious disease and clinical microbiology conference abstracts (2018 – 2020).

### Study Selection, Data Extraction and Quality Assessment

Study eligibility criteria for inclusion in the qualitative synthesis of the SLR were defined using a modified version of the PICO framework. Studies that reported quantitative estimates of risk factors (e.g., odds ratio [OR], incidence rate ratio [IRR], risk ratio [RR]) on contracting IMD or IMD-related mortality were included and studies that did not include quantitative association measures were excluded. Two trained reviewers screened captured records according to pre-defined selection criteria and extracted data from the final list of included studies. Study characteristics extracted included study year(s), design, and jurisdiction; patient/exposure characteristics included patient age, serogroup status, race/ethnicity, sex, sexual orientation, comorbidities, socioeconomic status, travel history, and smoking status. Within-study statistical significance was ascertained through inspection of interquartile range or 95% confidence interval (CI). Any discrepancies in screening or data extraction decisions were resolved by a third senior reviewer. The screening process was summarized in a Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram. Study quality was assessed using the National Institutes of Health (NIH) Quality Assessment Tool for Observational Cohort and Cross-Sectional Studies by the same reviewers (National Institutes of Health, 2021).

### Data Standardization

In the data extraction process, subgroups and risk factors (i.e., exposure and reference groups) were standardized and sorted into general categories such as age, sex, and socioeconomic status. While standardizing age for subgroups, some publications did not explicitly report age intervals in their study descriptions. Hence, the following assumptions were made based on inclusion criteria or sample characteristics: university students were assumed to be 18 – 22 years old; children 0 – 17 years old (based on the United Nations' criteria); adults or military service members 18 – 100 years old; if the study did not specify a specific population, the age interval was taken to be 0 – 100 years old. **Table S3** in the **Supplementary Materials** provides full details of the data standardization process.

## Aggregate-Level Risk Factor Meta-analysis

Studies sharing a sufficient degree of homogeneity for all of the following characteristics – common exposure variable (e.g., comorbidities, smoke), reference variable, subgroup (e.g., age, socioeconomic status), association measure (e.g., OR, IRR, RR), and model (adjusted or unadjusted for selected covariates) – with at least one other study, were eligible for MA.

Random effects MA was carried out with the 'metafor' (v2.1) (Viechtbauer, 2010) package for R statistical software (v3.6.3) (R Core Team, 2018). Results were plotted using the *ggplots* (v1.16) package for LaTeX. Thresholds for interpreting the  $I^2$  statistic were as follows: 0% to 40% may not be important; 30% to 60% may represent moderate heterogeneity; 50% to 90% may represent substantial heterogeneity; 75% to 100% represents considerable heterogeneity (Higgins et al., 2008).

## Results

The search identified 11,359 records in MEDLINE® and Embase, and 19 records from grey literature. Following full-text screening, 74 records were retained for the qualitative synthesis (SLR) and 22 records were eligible for the MA (Fig. 1).

### Study and Patient Characteristics

The present review only included observational study designs, consisting of: 28 cross-sectional studies, 28 retrospective cohort studies with study periods ranging between 1 year (Aubert et al., 2015, Hellenbrand et al., 2016, Mandal et al., 2017) and 34 years (Norheim et al., 2014), 15 case-control studies with study periods between < 1 year (Fischer et al., 1997) to 24 years (Lundbo et al., 2015), and three prospective cohort studies with study periods of 1 year (Tsolia et al., 2003), 2 years (de Greeff et al., 2008), and 9 years (Sadarangani et al., 2015). Study periods ranged from the 1950s to 2018, with most studies including participants between the 1990s to late 2010s. Sample sizes ranged from 4 (Thabuis et al., 2018) to 16,734 subjects (Connolly and Noah, 1999) (median = 389). Among studies conducted within a single country, the two most common jurisdictions were the US and the United Kingdom ( $k = 10$  studies each), followed by Canada and Australia ( $k = 8$  each), Italy ( $k = 5$ ), and France and the Netherlands ( $k = 4$  each). Five studies were multi-national. **Table S4** in the **Supplementary Materials** presents full jurisdiction information with citations.

The age (median or mean, whichever was reported) of study participants ranged from 18.5 months (Lundbo et al., 2015) to 42 years (Bloch et al., 2018) with an overall mean of 15 years. Thirteen studies examined risk factors of IMD in patient populations < 18 years old. The study with the youngest population included patients < 3 years old (Yusuf et al., 1999). Five studies investigated risk factors of IMD in young adults and included patients between the ages of 0 – 25 (De Wals et al., 2017, Hellenbrand et al., 2013, Krone et al., 2020, Mandal et al., 2017, Neal et al., 1999). Overall, the distribution of sex (reported in 32 studies) was relatively even, with the average male representation at 52%.

### NIH Study Quality Assessment Tool

All 74 studies were assessed using the respective NIH tool according to study design. For the retrospective and prospective cohort, and cross-sectional studies ( $k = 59$ ), there was generally a low to moderate risk of bias with three studies receiving a score  $\geq 10$  (max score of 14), defined as 'Good'. Fifty-three studies received a score of 5 – 9, defined as 'Fair', and three studies received

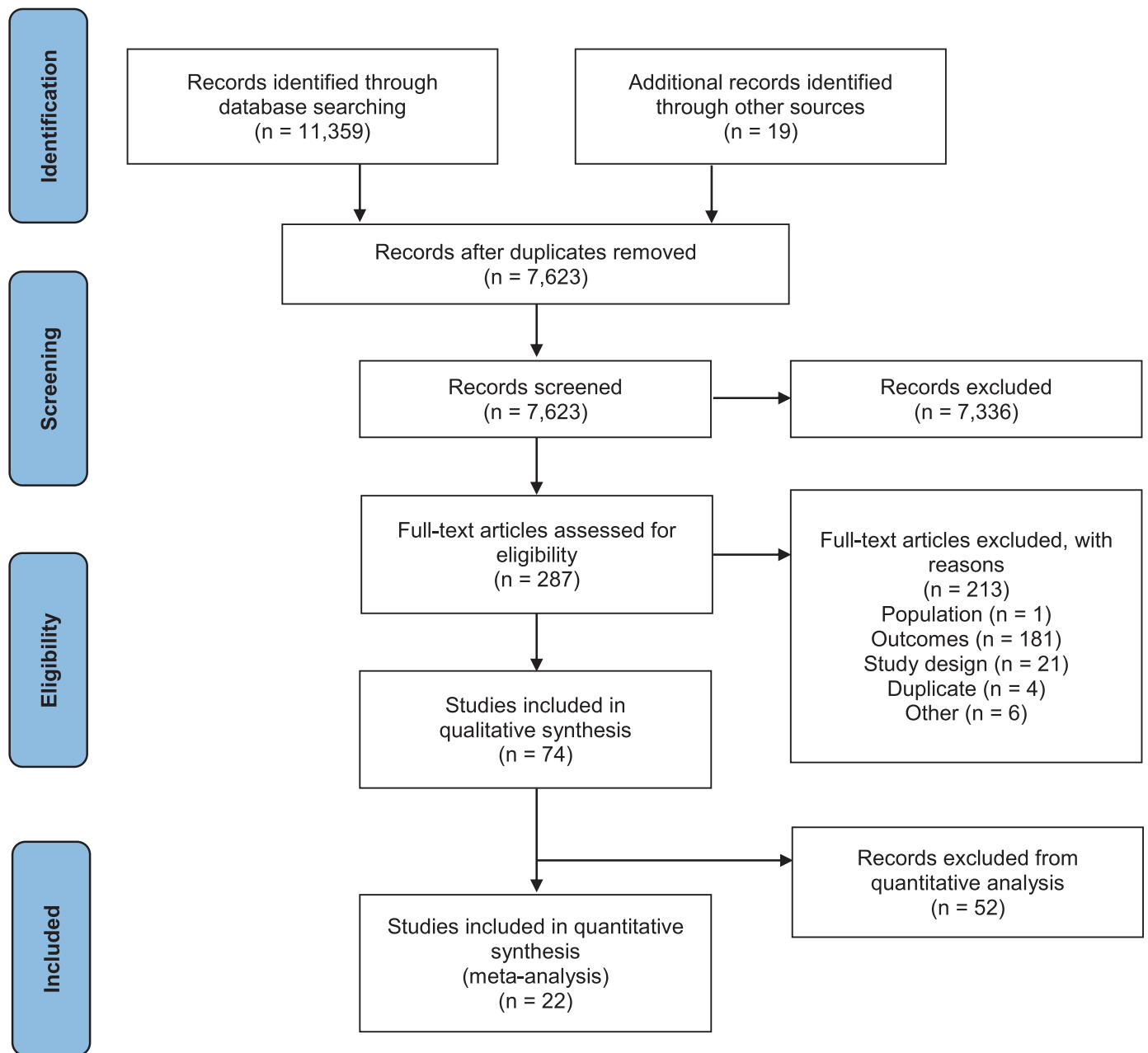


Fig. 1. PRISMA flow diagram.

a score below 5, defined as 'Poor'. Among the case-control studies ( $k = 15$ ), there was a moderate risk of bias, with all studies assessed as 'Fair' (achieving a score of 4 – 8 out of a possible 10).

#### Summary of Risk Factors Identified from the Systematic Review

A high-level summary of the top ten risk factors with the largest effect sizes identified from within-study (subgroup vs. reference group) comparisons for contracting IMD identified in the SLR is provided in Table 1. These risk factors were not eligible for inclusion in MA (due to insufficient matching with other studies on key characteristics), but included: previous IMD infection, visits to licensed establishments (bars or other establishments where alcoholic drinks are served), *N. meningitidis* lineage 23 (in age  $\geq 25$  years), complement deficiency, age 0 – 4 years, visits to rave parties, university student status (either residing in a university residence with a bar opened prior to 1989, or simply attending university), and chronic underlying illness.

A comprehensive summary of risk factors for contracting IMD identified in the SLR is provided in Table S5 in the **Supplementary Materials**.

#### Risk Factors Meta-analysis

In total, 22 studies met the criteria to be included in the MA of risk factors for contracting IMD ( $k = 11$ ) and IMD-related mortality ( $k = 11$ ).

See Table S6 in the **Supplementary Materials** for details concerning the studies used for MA.

#### Risk Factors for Contracting IMD in the Meta-analysis

Eleven studies met the criteria for MA of risk factors for contracting IMD. The OR for IMD in subjects with chronic illness compared to those without chronic illness was reported in two studies

**Table 1**  
Key risk factors and association measures for contracting IMD identified from the SLR (within-study).

| Risk factor   | Reference group                                   | Number of IMD cases with risk factor | Statistic: point estimate (dispersion; P)     | Publication            |
|---|---|--------------------------------------|---|------------------------|
| <b>Previous IMD infection</b>                                       | General population                                | 5,854                                | RR: 52.5 (IQR: 52.3 – 52.7; NR)               | (Krone et al., 2020)   |
| <b>Visits (&lt; 1/month) to licensed establishments<sup>a</sup></b> | Little or no visits to places serving alcohol     | 23                                   | aOR: 35.2 (95% CI: 2.64 – 468; P = .007)      | (Honish et al., 2008)  |
| <b>N. meningitidis lineage 23 (age ≥25 years; England/Wales)</b>    | N. meningitidis lineage 3 (age ≥25 years)         | 82                                   | aRR: 27.73 (95% CI: 12.91 – 59.56; NR)        | (Hill et al., 2015)    |
| <b>Complement deficient (Serogroups X/Z/NG)</b>                     | Complement deficient (Serogroup B)                | 10                                   | RR: 22.6 (95% CI: 3.2 – 57.2; NR)             | (Meiring et al., 2019) |
| <b>Young age: 0–4 years</b>   | 40–100 years                                      | 48                                   | IRR: 15.4 (95% CI: 8.5 – 28.0; NR)            | (Harley et al., 2002)  |
| <b>University student (UK; Serogroups A/C/W/Y)</b>                  | Not a university student (UK; Serogroups A/C/W/Y) | NR                                   | RR: 14.8 (95% CI: 4.3 – 51.5; P = .0001)      | (Mandal et al., 2017)  |
| <b>University residence bar (year opened 1970 – 1989)</b>           | University residence w/ no bar                    | NR                                   | alRR: 14.23 (95% CI: 4.55 – 44.51; P = .0001) | (Nelson et al., 2001)  |
| <b>University residence bar (year opened &lt; 1970)</b>             | University residence w/ no bar                    | NR                                   | alRR: 13.9 (95% CI: 6.56 – 29.45; P = .0001)  | (Nelson et al., 2001)  |
| <b>Visits to raves</b>  | Little or no rave attendance                      | 8                                    | aOR: 12.8 (95% CI: 1.47 – 111; P = .02)       | (Honish et al., 2008)  |
| <b>Chronic underlying illness<sup>b</sup></b>                       | No underlying chronic illness                     | 11                                   | aOR: 10.8 (2.7 – 43.3; NR)                    | (Fischer et al., 1997) |

**Abbreviations** – alRR: Adjusted incidence rate ratio; aOR: Adjusted odds ratio; RR: Crude Odds Ratio; RR: Crude Risk Ratio.

<sup>a</sup> Bars or other establishments where alcoholic drinks are served

<sup>b</sup> Chronic illness included cancer, diabetes, renal failure, HIV-infection and agammaglobulinemia

(Honish et al., 2008, McCall et al., 2004). The pooled estimate OR was 0.54 (95% CI: 0.14 – 2.08,  $I^2 = 67.43$ ).

Risk of contracting IMD was higher in HIV-positive subjects compared with HIV-negative subjects (RR: 4.77; 95% CI: 2.16 – 10.51,  $I^2 = 96.61$ ; Table 2, Fig. 2A) (Meiring et al., 2019, Miller et al., 2014, Simmons et al., 2015) and substantially higher in HIV-positive subjects aged 25 – 44 years compared with same age HIV-negative subjects (RR: 11.88; 95% CI: 7.79 – 18.10;  $I^2 = 0.00$ ; Table 2, Fig. 2B) (Miller et al., 2014, Simmons et al., 2015).

Risk of contracting IMD was also higher in subjects exposed to passive smoke compared with no passive smoke exposure (adjusted OR [aOR]: 2.37; 95% CI: 1.11 – 5.07;  $I^2 = 75.18$ ; Table 2) (Grein and O’Flanagan, 2001, Hadjichristodoulou et al., 2016, Honish et al., 2008, Sorensen et al., 2004).

Crowding as a risk factor for contracting IMD was defined in two studies as the ratio of the number of people in the home to the number of bedrooms (crowding index), and high crowding index versus low crowding index conferred a higher risk (OR: 1.67; 95% CI: 1.16 – 2.41;  $I^2 = 0.00$ ; Table 2, Fig. 2C) (Grein and O’Flanagan, 2001, Pereiro et al., 2004). Crowding was also defined as the absolute number of people in the house or sharing a bedroom with 2 or more people in three studies (crowded living space), and risk of contracting IMD in subjects living in a crowded living space was higher compared with living in a less crowded living space (OR: 2.78; 95% CI: 1.25 – 6.21;  $I^2 = 51.82$ ; Table 2, Fig. 2D) (Grein and O’Flanagan, 2001, McCall et al., 2004, Pereiro et al., 2004).

#### Risk Factors for Mortality Due to IMD in the Meta-analysis

Twenty-four publications captured in the SLR reported risk factors for mortality due to IMD. Of these, 11 were appropriate for pooling in the MA, the results of which are summarized in Table 3.

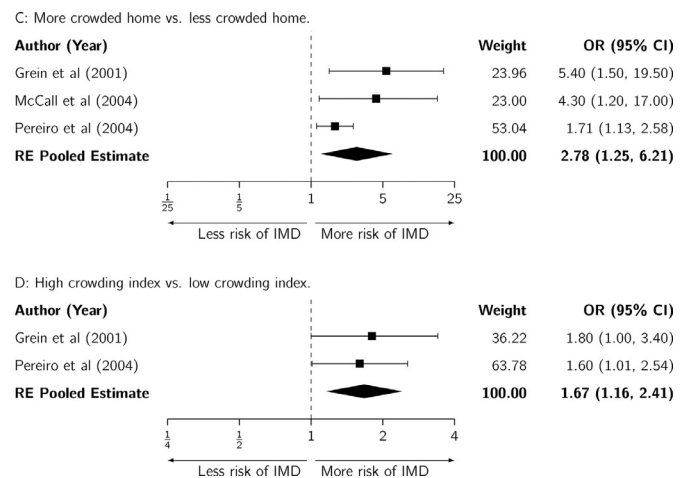
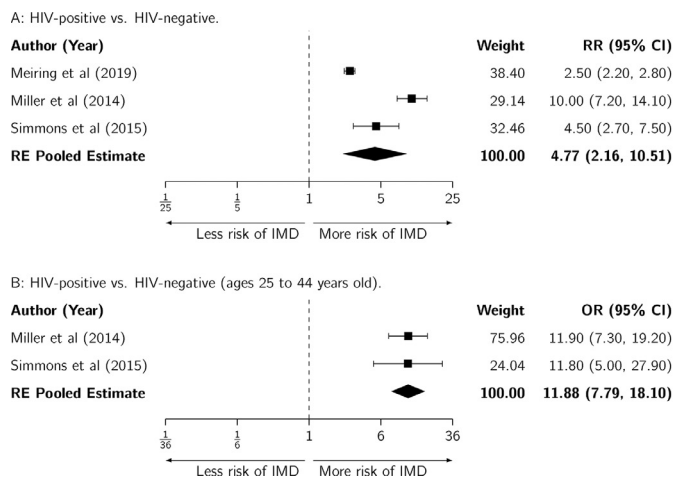
MA estimated numerically lower risks in young children (age 1 – 4) and adults (age 25 – 44), but higher risks in teenagers and young adults (age 15 – 24), when compared with infants (age < 1 year). However, none of these findings were statistically significant, with moderate to substantial heterogeneity. Point estimates for odds of mortality in adults aged 25 – 44 were significantly elevated in comparison with odds of mortality in infants and young children < 5 years; the OR for adults aged 25 – 45 years versus young children/infants was 3.63 (95% CI: 1.81 – 7.29;  $I^2 = 0$ ). The reference age group in one study consisted of young children aged 1 – 4 years (Sadarangani et al., 2015) and in the other included young children aged < 5 years (Von Gottberg et al., 2008); however, it should be noted that in the Sadarangani et al (2015) study, death rates in infants (< 1 year) and young children (1 – 4 years) were virtually identical. In contrast, age 25 – 45 was not a significant risk factor for mortality when compared with age 0 – 1 years in the two relevant studies (Edge et al., 2016, Xu et al., 2012).

The RR for the risk of IMD-related mortality in subjects with serogroup C versus serogroup B was reported in two studies (Pugh et al., 2003, Whalen et al., 1995). Pugh et al. (2003) investigated subjects of all ages in Queensland, Australia in 2002, and Whalen et al. (1995) reported on subjects of all ages in Canada from 1985 – 1992. The RE pooled estimate was 3.18 (95% CI: 2.15 – 4.71,  $I^2 = 5.02$ ).

Sex was not associated with any significant risk of IMD-related mortality, with a pooled estimate OR of 1.02 (95% CI: 0.24 – 1.43).

#### Discussion

Given the potential for IMD outbreaks and epidemics, variables associated with meningococcal nasopharyngeal carriage, susceptibility and mortality are under constant monitoring to guide the development of effective prevention strategies and to reduce disease



burden. The objective of the present SLR and MA was to identify risk factors associated with onset and mortality of IMD.

To the best of our knowledge, this is the first MA of IMD risk factors across all patient age groups. Studies identified in this SLR were predominantly large (> 100 participants), multi-center observational studies conducted from the 1990s to late 2010s. The majority of studies captured were conducted in European and North American countries. Participants' age and sex were well reported across publications, and serogroups were also commonly described.

Eleven studies were included in the MA for risk of contracting IMD. Risk factors eligible for pooling in the MA were limited to: HIV-positive status (in both a primary analysis and a subgroup analysis among participants aged 25 – 44 years old), comorbid chronic illness, passive smoke exposure, living space crowding index (high vs. low), and living space crowding (crowded vs. not crowded). Among these, all identified risk factors except comorbid chronic illness were significantly associated with increased risk of contracting IMD. Differences between participants in the two studies examining comorbid chronic illness as a risk factor for contracting IMD may have contributed to the substantial heterogeneity ( $I^2 = 67.43$ ; 95% CI: 0, > 99) observed. In one study (Honish et al., 2008), patients with asplenia, complement disorder, diabetes, cancer, kidney disease requiring dialysis, or HIV infection were not included, and in the other, chronic conditions were not defined (McCall et al., 2004).

Household crowding and second-hand smoke exposure were also identified in the MA as significant risk factors for contracting IMD. Heterogeneity among the four studies included for pooled analysis of passive smoke inhalation was considerable ( $I^2 = 75.18$ ; 95% CI: 0, 99). This degree of heterogeneity is not surprising, as the smoke exposure measures varied considerably, along with age of cases and controls. Heterogeneity among studies reporting on household crowding ranged from negligible ( $I^2 = 0.00$ ; 95% CI: 0, 99) to moderate ( $I^2 = 52.0$ ; 95% CI: 0, 98), depending on the measures used for defining crowding, which in themselves were variable. Therefore, much of the variability in these association measures is likely due to study design and population differences, as opposed to sampling error. The results must also be interpreted with caution in view of the wide ranges of 95% CIs for heterogeneity.

These two risk factors for IMD were also highlighted in a recent MA in pediatric populations (Spyromitrou-Xioufi et al., 2020). The present analysis provides further quantitative evidence for an association across all age groups between these environmental factors and IMD. Crowded living conditions lead to increased contact between asymptomatic carriers and more susceptible individuals, and passive smoke exposure is also known to increase car-

riage rates (Caugant and Maiden, 2009). A combination of crowding and smoke exposure may increase rates of carriage, as reported in studies from the era where smoking was more permitted in social situations (Bruce et al., 2001, MacLennan et al., 2006). Carriage rates are known to be highly variable; one study of Norwegian military recruits reported 91% carriage (Caugant et al., 1992), however, point-prevalence carriage rates between 10% – 35% have been estimated in young adults in the US and Europe (Cartwright et al., 1987, Caugant et al., 1994, Claus et al., 2005, Stephens, 1999). Despite relatively high carriage rates, carriage does not fully predict the occurrence of IMD, and neither can carriage be used as a proxy for vaccine efficacy (Caugant and Maiden, 2009).

In the present analysis, HIV positivity was associated with a substantially elevated risk of IMD. Considerable heterogeneity ( $I^2 = 96$ ; 95% CI: 87, > 99) was evident in pooled estimates of relative risk (4.77; 95% CI: 2.16 – 10.51) for contracting IMD in HIV-infected versus HIV-uninfected individuals. Age distribution may be a factor contributing to heterogeneity, as point estimates of IMD risk for HIV-infected versus non-infected individuals of all ages appear relatively scattered compared with point estimates from subgroups aged 25 – 44. It should be noted that the subgroup analysis in subjects aged 25 – 44 excluded a South African study in which 44% of all subjects were aged < 5 years (Meiring et al., 2019). To the best of our knowledge, no prior MA has confirmed an association of HIV positivity with IMD susceptibility. It is known that individuals with HIV, regardless of CD4+ cell counts, have an increased risk of developing bacterial infections compared to the general population (Vaillant et al., 2020). In line with this, all studies included in our MA on HIV concluded that this is a population that is at increased risk of meningococcal disease and policy makers and clinicians should consider this when making decisions about meningococcal vaccine recommendations (Meiring et al., 2019, Miller et al., 2014, Simmons et al., 2015). Additionally, Miller et al (2014) suggest that cost-effectiveness analyses of vaccine options should be conducted for HIV-positive patients to better serve this population.

Eleven studies were appropriate for pooling in the MA for IMD-related mortality risk. Among the factors assessed, age between 25 and 45 years (vs. < 5 years) and serogroup C (vs. serogroup B), were each significantly ( $p < 0.001$ ) associated with increased risk of IMD-related mortality. Two studies included for MA reported higher case-fatality rates and risk of mortality in adults compared to younger children (Sadarangani et al., 2015, Von Gottberg et al., 2008). Sadarangani et al (2015) examined outcomes and associated risk factors for death and complications from IMD in 868 Canadian hospitalized cases between 2002 and 2011. In their study, mortality was independently associated with age groups 20 – 24

**Table 2**  
Random-effects meta-analysis pooled estimates for contracting IMD.

| Risk factor                              | Reference group                | IMD cases with risk factor | Statistic        | Point estimate (95% CI)     | I <sup>2</sup> , % (95% CI) | k | Publications  |
|--|--------------------------------|----------------------------|------------------|-----------------------------|-----------------------------|---|---|
| <b>Chronic illness<sup>a</sup></b>       | No chronic illness             | 15                         | OR               | 0.54 (0.14 – 2.08)          | 67 (0, >99)                 | 2 | (Honish et al., 2008, McCall et al., 2004)  |
| <b>HIV-infected</b>                      | HIV-uninfected                 | 421                        | RR               | <b>4.77 (2.16 – 10.51)</b>  | 96 (87, >99)                | 3 | (Meiring et al., 2019, Miller et al., 2014, Simmons et al., 2015)   |
| <b>HIV-infected (25 – 44 years)</b>      | HIV-uninfected (25 – 44 years) | 25                         | RR               | <b>11.88 (7.79 – 18.10)</b> | 0.00 (incalculable)         | 2 | (Miller et al., 2014, Simmons et al., 2015)   |
| <b>Passive home smoke exposure</b>       | No passive home smoke exposure | NR                         | aOR <sup>b</sup> | <b>2.37 (1.11 – 5.07)</b>   | 75 (0, 99)                  | 4 | (Grein and O'Flanagan, 2001, Hadjichristodoulou et al., 2016, Honish et al., 2008, Sorensen et al., 2004) |
| <b>Crowding index, high<sup>c</sup></b>  | Crowding index, low            | 79                         | OR               | <b>1.67 (1.16 – 2.41)</b>   | 0 (0, 99)                   | 2 | (Grein and O'Flanagan, 2001, Pereiro et al., 2004)  |
| <b>Living space, crowded<sup>d</sup></b> | Living space, less crowded     | 143                        | OR               | <b>2.78 (1.25 – 6.21)</b>   | 52 (0, 98)                  | 3 | (Grein and O'Flanagan, 2001, McCall et al., 2004, Pereiro et al., 2004)                                   |

<sup>a</sup> The category “chronic illness” was defined as “conditions other than asplenia, complement disorder, diabetes, cancer, kidney disease requiring dialysis, or HIV” in Honish et al., 2008 and simply as “chronic condition” with no further elaboration in McCall et al., 2004.

<sup>b</sup> Adjustment factors: Grein and Flanagan, 2001 (day-care, ≥2 children under 6 years of age in household, number of adults in home, crowding index ≥2); Hadjichristodoulou et al., 2016 (recent symptoms of viral respiratory infection, relocation or vacation during the previous month, density: ≥ 4.4 (number of people per 100 m<sup>2</sup> house), age, gender); Honish et al., 2008 (use of external humidifier in home, attended raves, more than one visit per month to bars, mother's education less than high school diploma, visits to places where smoking is allowed); Sorensen et al., 2004 (maternal age, birth order, per capita income, crowding, and calendar year of hospitalization).

<sup>c</sup> High crowding index was defined as ≥2 and ≥1.5 persons/number of bedrooms in Grein and O'Flanagan et al., 2001 and Pereiro et al., 2004, respectively.

<sup>d</sup> Crowded living space was defined as ≥5 adults/household, ≥4 household members, and sharing bedroom with 2 or more people in Grein and O'Flanagan et al., 2001; Pereiro et al., 2004; and McCall et al., 2004, respectively. **Abbreviations** – aOR: Adjusted odds ratio; aRR: Adjusted risk ratio; CI: Confidence interval; k: Number of studies; OR: Crude odds ratio; RR: Crude risk ratio. Significant estimates (i.e., 95% CI does not include 1) are in **bold**. NR: Number of cases could not be determined accurately from reported data.

**Table 3**  
Random-effects meta-analysis pooled estimates for IMD-related mortality.

| Risk factor                                 | Reference group                  | IMD deaths with risk factor | Statistic        | Point estimate (95% CI)   | I <sup>2</sup> , % (95% CI) | k | Publications  |
|---|----------------------------------|-----------------------------|------------------|---------------------------|-----------------------------|---|---|
| <b>Age, 1 – 4 years</b>                     | Age, <1                          | NR                          | OR               | 0.26 (0.02 – 2.85)        | 91 (56, <99)                | 2 | (Sadarangani et al., 2015, Xu et al., 2012)   |
|   | Age, <1                          | NR                          | RR               | 0.84 (0.37 – 1.93)        | 70 (0, >99)                 | 2 | (Memish et al., 2013, Whalen et al., 1995)  |
| <b>Age, 15 – 24 years</b>                   | Age, <1                          | NR                          | aOR <sup>a</sup> | 1.97 (0.89 – 4.38)        | 38 (0, >99)                 | 2 | (Edge et al., 2016, Xu et al., 2012)  |
|   | Age, <1                          | NR                          | aOR <sup>a</sup> | 0.55 (0.03 – 9.37)        | 98 (88, >99)                | 2 | (Edge et al., 2016, Xu et al., 2012)  |
| <b>Age, 25 – 45 years</b>                   | Age, <5                          | NR                          | OR               | <b>3.63 (1.81 – 7.29)</b> | 0 (0, >99)                  | 2 | (Sadarangani et al., 2015, Von Gottberg et al., 2008)   |
|   | Age, <5                          | NR                          | OR               | 1.81 (0.76 – 4.29)        | 0 (0, 98)                   | 2 | (Sadarangani et al., 2015, Von Gottberg et al., 2008)   |
| <b>Age, 5 – 20 years</b>                    | Age, <5                          | NR                          | RR               | <b>3.18 (2.15 – 4.71)</b> | 5 (0, >99)                  | 2 | (Pugh et al., 2003, Whalen et al., 1995)  |
| <b>Serogroup, C</b>                         | Serogroup, B                     | NR                          | RR               | <b>3.18 (2.15 – 4.71)</b> | 5 (0, >99)                  | 2 | (Pugh et al., 2003, Whalen et al., 1995)  |
| <b>Serogroup, W</b>                         | Serogroup, B                     | 41                          | aOR <sup>b</sup> | 2.60 (0.57 – 11.88)       | 46 (0, 99)                  | 2 | (Campbell et al., 2020, Loenenbach et al., 2020)  |
| <b>Serogroup, Y</b>                         | Serogroup, B                     | 15                          | aOR <sup>c</sup> | 1.86 (0.99 – 3.50)        | 0 (0, 98)                   | 2 | (Campbell et al., 2020, Stoof et al., 2015)   |
| <b>Sex, Male</b>                            | Sex, Female                      | NR                          | OR               | 1.02 (0.24 – 1.43)        | 0 (0, 63)                   | 3 | (Duarte et al., 2005, Loenenbach et al., 2020, Sadarangani et al., 2015, Von Gottberg et al., 2008) |
| <b>Strain not susceptible to penicillin</b> | Strain susceptible to penicillin | NR                          | OR               | 1.21 (0.59 – 2.45)        | 36 (0, 99)                  | 3 | (Sadarangani et al., 2015, Von Gottberg et al., 2008, Xu et al., 2012)                              |

<sup>a</sup> Adjustment factors: Edge et al., 2016 (age group, gender, capsular group, diagnostic method, clinical presentation and year of diagnosis); Xu et al., 2012 (not reported)

<sup>b</sup> Adjustment factors: Campbell et al., 2020 (age group); Loenenbach et al., 2020: (age, gender, and comorbidity, clinical manifestation)

<sup>c</sup> Adjustment factors: Campbell et al., 2020 (age group); Stoof et al., 2015: (age, clinical manifestations, serogroups, clonal complex, comorbidity groups [none, immunocompromising, non-immunocompromising]) Significant estimates (i.e., 95% CI does not include 1) are in **bold**. **Abbreviations** – aOR: Adjusted odds ratio; aRR: Adjusted risk ratio; CI: Confidence interval; k: Number of studies; NR: Number of cases could not be determined accurately from reported data; OR: Crude odds ratio; RR: Crude risk ratio.

years and 60 – 99 years (vs. 1 – 4 years), shock, admission to the intensive care unit, and symptom onset within 24 hours of admission. Von Gottberg et al (2008) examined 185 IMD cases (35 deaths) in Gauteng, South Africa between 2003 and 2005. Independent risk factors for death included age 25 – 44 years (vs. < 5 years) and meningococemia versus meningitis. In their study, serogroup (W135 vs. A) was not a factor affecting mortality. The age-dependence of mortality risk was explored in a recent global SLR/MA, indicating case fatality rates gradually decreasing from infancy through childhood, subsequently increasing from age 10 – 25, with a stable elevated plateau between age 25 – 45, and exponentially increasing thereafter (Wang et al., 2019). Our MA results are consistent insofar as the risk for mortality was significantly higher from age 25 – 45 compared with age < 5 years, but we did not capture data for MA of ages > 45. Wang et al (2019) estimated that case fatality rates doubled from 15% in young adults to 30% in elderly aged 75 years.

The present analysis confirmed an increased risk of death for serogroup C, W and Y, relative to serogroup B; however, only the risk associated with serogroup C was significant (RR = 3.18; 95% CI: (2.15 – 4.71), with negligible heterogeneity between studies ( $I^2 = 5$ ; 95% CI: 0, > 99; Table 3) (Pugh et al., 2003, Whalen et al., 1995). This is also consistent with findings from the recent SLR/MA by Wang et al (2019), where serogroups C, Y, and W were associated with higher case fatality rates (12.0%, 10.8%, and 12.8% respectively) relative to serogroup B (6.9%) (Wang et al., 2019). By way of comparison, the finding for mortality of serogroup C versus serogroup B from Wang et al (2019) translates to an RR of 1.74. It should, however, be noted that associations between serogroups and clonal complexes may vary over time, such that one particular serogroup may have predominance of hyper-virulent clonal complex explaining its high mortality rate at that time. These results on serogroups should therefore be interpreted with caution.

One limitation of this MA relates to the limited availability of data appropriate for pooling and MA, mainly due to variation across included studies in exposure or reference categories, patient subgroups, or effect measures and/or models used. The studies included potentially captured results which were not estimating the same quantity from the same populations. However, one strength of this SLR consists of identification of a large number of observational studies as an evidence base for summarizing and describing IMD risk factors in the real world. Most studies were of “fair” quality based on the relevant NIH Quality Assessment tools, lending weight to the reliability of the evidence base. Additionally, as our review captured publications on both adult and pediatric patients, our findings may be more broadly applicable to a wider range of at-risk populations. Nonetheless, given the number of studies conducted in Europe and North America, our results underscore a persistent lack of reporting on IMD risk factors in developing countries. The relatively low number of studies that could be matched for MA could conceivably introduce imprecision in the  $I^2$  statistic estimating heterogeneity (von Hippel, 2015). More consistent analytical methods of future studies would perhaps help mitigate these limitations, though this may not always be feasible.

Even though we identified a large number of risk factors and evaluated them as reported in eligible studies via MA, as well as at the level of individual studies, we did not capture interactions, additive or relative, of mixed effects of multiple risk factors. For example, socioeconomic status can independently impact parental level of education, family size, and crowding in the home; while age and comorbidities can have a synergetic effect on IMD. Similarly, our review and analyses necessarily spanned different jurisdictions and time periods; however, regional and temporal trends in IMD could not be accounted for here. These may be considered limitations, but they reflect the current state of the IMD literature,

which generally does not report on interactions between known risk factors, geography, and temporal trends.

## Conclusions

This review and meta-analysis identified a number of host-related and environmental variables across a wide range of categories from studies reporting on risk factors for contracting IMD and IMD-related mortality. This MA quantified the substantial and significant risk in HIV-infected individuals for contracting IMD, and extended previous findings identifying crowded living environments and passive smoke exposure as risk factors in children and adolescents, as well as older age groups. This study also confirms findings from a previous MA associating higher risks of IMD-related mortality with age (25 – 44 years) and serogroup C. Further studies are warranted to understand the critical range of factors, singly or in combination, contributing to IMD transmission, susceptibility, and clinical outcome. This understanding would benefit public health interventions to reduce the burden of IMD.

## Declaration of Competing Interest

Himanshu Dubey, Philipp Oster, Sandra Guedes, and Amine Amiche are, or were, employees and shareholders of Sanofi Pasteur at the time of this study. Mir Sohail Fazeli, Paul Serafini, and Lisa Leung are employed by Evidinno Outcomes Research Inc. (Vancouver, BC, Canada), which was contracted by Sanofi Pasteur to conduct this study.

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Ethical approval was not required.

## Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:[10.1016/j.ijid.2022.03.032](https://doi.org/10.1016/j.ijid.2022.03.032).

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